

## PATENT TRADEHARK OFFICE

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Michael Malcolm, et al.		
Serial No.: 09/093,533		
	Group Art Unit: 2787	
Filed: June 8, 1998	2787	
	Examiner:	11/6
Title: Network Object Cache Engine	Wang, M.	71/18
:		•
Attorney Docket No.: CASH-001		

Assistant Commissioner for Patents Washington, D.C. 20231

## INFORMATION DISCLOSURE STATEMENT

## Dear Sir:

This Information Disclosure Statement is submitted:

_	under 37 CFR 1.97(b), or (Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
<u>X</u>	under 37 CFR 1.97(c) together with either a:  Certification under 37 CFR 1.97(e), or  X a \$240.00 fee under 37 CFR 1.17(p), or  (After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
	under 37 CFR 1.97(d) together with a:  Certification under 37 CFR 1.97(e), and  a petition under 37 CFR 1.97(d)(2)(ii), and  a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1).  (Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

X Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The relevance of the attached references is that this is the closest art of which Applicant is aware.

Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: 6/15/2000

Typed Name: Roberta D. Roberts

Signature: Luta D Ruto

John C. Merchant

Attorney/Agent for Applicant(s)

Reg. No. 45,996

Date:

6-15-00

Telephone No.: 650-947-0700

FORM PTO-	444		ATTY. D	OCKET NO. CA	SH-001	RIAL NO.	09/093,533		<del></del>		
	112511	22883	APPLICA	APPLICANT Michael Malcolm, et al.							
			FILING	DATE June	e 8, 1998 GF	ROUP	2787	•			
	PA	TENT TRADELINEK OFFICE		<del></del>	<u></u> L		210.		<del></del>		
REFERENCE	DES	IGNATION	U.S. PAT	ENT DOCUME	NTS						
EXAMINER INITIAL	DOCUMENT NUMBER		DATE		NAME		CLASS	SUE	SUB CLASS		
<del></del>	A 5,918,013		6/1999		Mighdoll et al.		09	217			
	В	5,870,769	2/1999		Freund	7	707	501			
•	ļ							_			
	_		<u> </u>	<del></del>							
	-		,					-			
	<del> </del>		-	- <u></u>			. <del></del>		<del>/</del>		
	+							1			
	T										
	_										
		<u>l</u>	<u> </u>								
		•	FOR	EIGN PATENT	DOCUMENTS						
· · · · · · · · · · · · · · · · · · ·		DOCUMENT NUMBER	DATE	COUNTRY	NAME	CLASS	SS SUB CLASS	TRANSLATION			
		NUMBER					CLASS	YES	NO		
		WO 97 30 <b>5</b> 39	8/1997	PCT	Bittinger, et al.						
		EP 0 836 145AZ	4/1998	EPO	Guarav Banga						
			`						<del> </del>		
							ļ				
			·								
	,	, v	·						<u> </u>		
		OTHER REF	ERENCES	(including Auth	nor, Title, Date, Pertin	nent Page	s, etc.)				
		Michael Baent	sch, et al.:	"World Wide We	b Caching: The Appli	cation-Le	vel View Of	The Inte	rnet",		
		IEEE Commu	nications M	lagazine, Pages 1	70-178, June 1997.						
	<u> </u>				····				. D.		
			Syam Gadde, et al.: "Reduce, Reuse, Recycle: An Approach To Building Large Internet Caches", Pages								
		93-98, May 19	ו ציו.								
<del></del>	-	A. Ortega, et a	I., "Soft Ca	ching: Web Cacl	ne Management Techn	iques For	Images, Mul	timedia S	Signal		
			A. Ortega, et al., "Soft Caching: Web Cache Management Techniques For Images, Multimedia Signal Processing, Page(s) 475-480, June 1997.								

DATE CONSIDERED

Rev. 10/94 (Form 3.05)

EXAMINER

FORM PTO-1449	$\overline{\Omega}$	ATTY, DO	OCKET NO. C	ASH-(	001	RIAL NO.	09/093,533		
	APPLICA	APPLICANT Michael Malcolm, et al.							
		FILING D	ATE Ju	ne 8,	1998 G	ROUP 2	2756		
REFERENCE DESI	GNATION (	J.S. PATE	NT DOCUM	ENTS					
EXAMINER INITIAL	DOCUMENT NUMBER	I	DATE		NAME	CLASS		SUB CLASS	
	·								
		·	·	-					
				╁┈				-	
		:							
				<b>-</b>			·	_	<del></del>
								+	
		<del> </del>		_					
			<del>,,_,,</del> ,,,,,,				<del> </del>		
	_,	FODI	EIGN PATEN	T ĎC	CIMENTS	l	<u> </u>		
	DOCUMENT	DATE	COUNTR		NAME	CLASS	SUB	TRANS	SLATION
·	NUMBER						CLASS	YES	NO
	-								
								·	
		· · · · · · · · · · · · · · · · · · ·			<u> </u>				
	OTHER REFE	RENCES	(including Au	thor,	Title, Date, Perti	nent Pages	, etc.)		
<u> </u>	Banga, G. et al.	: "Optimis	tic deltas for V	ww	latency reduction'	' Proceedir	ngs Of The	Usenix 19	97
					Usenix 1997 Ann	ual Technic	cal Confere	nce, Anah	eim,
	CA, USA, 6-10					P	1:-10-1	`! I- D-	
			_		laborative Method ms, NL, North Ho				
	No. 1-7, 1April1			-,	,, - · · · · · · · · · · · · ·				,
		<u>i-</u>	<del> </del>						
EXAMINER				DA	TE CONSIDERED	)			
				~11		-			